### IMPLANTABLE DEVICE WITH IMPROVED BATTERY RECHARGING AND POWERING CONFIGURATION

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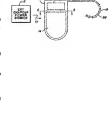
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#### Abstract of WO 9906108 (A1)

An implantable system (10), such as a neural stimulator or a cochlear implant system, includes an internal battery (14) having improved recharging and lifetime characteristics. The battery (14) is housed within the implant's case (12) and has first and second electrode plates (24). Each electrode plate has a plurality of slits (26) that extend across a substantial portion of the plate's surface area. The slits in the electrode plates reduce the magnitude of eddy currents induced in the plate by external ac magnetic fields allowing faster battery recharging times. Alternatively, the electrode plates are wrapped in a spiral configuration such that, in the plane of the spiral, the electrode plates have a small cross-sectional area and no closed current loops Additionally, the implant device is housed in a case (12) formed of a high-resistivity material and a circuit included in the implant device is configured to avoid large current loops that would result in eddy current heating. As a backup option, the circuitry (16) of the implant device may optionally be powered from an external battery (15) that inductively couples energy to the same coil (22) that is used to charge the internal battery (14). The implantable system may be partitioned into first (152, 112') and second (154, 162) implantable cases, each having electrical circuitry therein, and only one having a rechargeable power source therein, facilitating its subsequent replacement for repair or upgrading purposes. The two cases are coupled together when the system is in use. Coupling is achieved either magnetically using a proximity system (160) or with a detachable electrical cable using a wired system (150). In one embodiment of the wired system, power is transferred from one implant case to the other using

a 3-phase transmission scheme.



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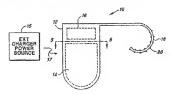
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(54) THIS: IMPLANTABLE DEVICE WITH IMPROVED BATTERY RECHARGING AND POWERING CONFIGURATION



## (57) Abstract

As implantable systems (10), such as a neural astimulator or an excellent insplant system, includes an internal battery (4.6) acciding improved. evoluting the mid-time characteristics. The battery (4.6) is accounted in the implant's one (2.1) and insulting and second electrical plane has a plantility of slite (26) that excend across a substantial portion of the plant's surface area. The slits in the electrode plane has a plantility of slite (26) that excend across a substantial portion of the plant's surface area. The slite is the electrode plane should be excerned as engaged from the electrode plane in the electrode plane is substantial portion of the plant's surface area. The slite is the real mid-plant the electrode plane is a mid-plant of the electrode plant is a similar plantility of the electrode plant is a substantial to the electrode plant is a substantial to the electrode plant is a substantial plantility of the electrode plantilit

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### IMPLANTABLE DEVICE WITH IMPROVED BATTERY RECHARGING AND POWERING CONFIGURATION

#### BACKGROUND OF THE INVENTION

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The present invention relates to implantable devices, and more particularly, to a fully implantable device or system for stimulating or sensing bring tissue wherein the implantable device has a rechargeable battery or other replentishable power source. One aspect of the invention relates to an 5 implantable device configured to minimize heat generation due to eddy currents during battery charging and other magnetic powering. Another aspect of the invention relates to partitioning the circuit functions within the implantable system to allow upgrading the circuit functions and/or to permit existing partially implantable systems (having both implanted and external, or non-implanted, components) to be converted to fully implantable systems.

Presently available implantable simulation devices, such as a cochlear implant device of a neural stimulator, typically have an implanted unit, an external accoll, and an external belt-mented control unit and power source. The external control unit and power source includes a suitable control processor and other circuitry that generates and sends the appropriate command and power signals to the implanted unit to enable it to earry out its intended function. The enemal control unit and power source is powered by a battery that supplies electrical power through the ac coil to the implanted unit via inductive coupling for providing power for any necessary signal processing and control circuitry and for electrically stimulating select nerves or muscles. Efficient power transmission through a patient's skin from the external unit to the implanted unit via inductive coupling requires constant close alignment between the row units.

Rechargeable implantable sensing and/or stimulation dovices (e.g., heart pacemakers) are relutively bulky devices (e.g., 3 inches x 2 inches x 0.5 inches) and are quite heavy. Further, these techargeable implantable devices require a substantial amount of charging time each week.

Accordingly, there exists a need for a small lightweight implantable device that does not require constant external power and that includes a long-tasting internal battery that may be recharged within a relatively short time period.

Further, there exists a need, should the buttery within such a small, lightweight implantable device malfunction, or should the user desire to not use the internal buttery for certain time periods, to still be table to provide power to the device, e.g., from an external power source, so than the device can continue to operate and provide its intended function, e.g., sensing and/or stimulating, to the 30 patient, without having to implant a new device in the patient. Further, there exists a need for a fast, simple method for the buttery module to be replaced during sargery, should replacement be necessary or desired.

Marcover, there are many patients who have received an irreplant system, e.g., a cochlear implant system of the type described in United States Patent No. 5,603,726, which system includes both an implantable cochlear stimulator (ICS) attached to an electrodic array that is inserted inside of the cochlea, and an external roma-implanted busiers, speech moressor and headpiece. The speech processor (SP) and battery are housed within a wearable unit that is worn or carried by the patient, e.g., on a belt mack. The headpiece includes the external ac coil, a magnet, and a microphone. It is connected to the wearable unit via a cable. In use, the headpiece is positioned next to the external skin of the paneat in close proximity to the ICS so as to provide efficient inductive coupling thereto. The magnet properly positions and holds the headpiece against the ICS implant location. Many of the patients who have and use the existing ICS system could greatly benefit from a fully implantable system, i.e., a system that eliminates the need for constantly wearing and/or carrying the external components of the system.

The present invention addresses the above and other needs.

## SUMMARY OF THE INVENTION

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The present invention is embodied in a rechargeable device, for implantation within living tissue, having improved buttery recharging and lifetime characteristics. In some embodiments, the rechargeable device of the invention may be used to apprade the ICS portion of existing implant systems to fully implantable systems. In other embodiments, the device is configured to minimize inwanted eddy currents that produce heat during battery recharging. Accordingly, the device may be recharged at a 15 relatively fast rate thus minimizing disruptions to a patient's lifestyle. Once charged or recharged, the device may be used to power various implant configurations, including a fully implantable single unit, a wired system, or a proximity system.

Additionally, as a backup option or for diagnostic purposes, the rechargeable device may be continuously powered from a small, lightweight external unit, if necessary or desirable. Thus, in the 29 event the internal (implanted) battery within the device malfunctions, or for whatever reason cannot be used, or the aser or clinician (or other medical personnel) does not want to use it, it is still possible. through use of the lightweight external device, to provide operating power to the implantable device so that it may continue to provide its intended function (e.g., stimulating and/or sensing). Advantageously, by having such a backup option available, the patient may delay indefinitely battery-replacement and/or 25 corrective surgery.

One embodiment of the invention, hereafter referred to as the "single unit" embodiment, resides in an implantable device having a case, a coil, electronic circuity, and a rechargeable battery. The case forms a substantially hermetic housing and the coil surrounds the case to enclose a relatively large area and generates electrical power in the presence of externally induced ac magnetic fields passing 30 through the coil's enclosed area. The rechargeable battery and electronic circuitry are housed within the case. The battery includes first and second electrodes for storing electrical power from the coil and for providing electrical power for the device. Each of the first and second electrodes has a relatively large surface area for electrical power storage that is configured to prevent current paths that are able to form relatively large current loops. Prevention of such corrent paths limits hent generating oddy currents in the electrode resulting from the magnetic fields passing through the coil's enclosed area that also pass through the buttery.

Another embodiment of the invention, hereafter referred to as the "wired system" embodiment, resides in a fully implantable system that includes two implantable devices, each having its own case, jointed together by a detachable cable. A first of the implantable devices houses electronic circuitry for performing a desired function. A second of the implantable devices houses a rechargeable battery or other replenishable power source, and may also include additional circuitry. The second device provides operating power for the first implantable device. The detachable cable that connects the two devices may include transformer coupling on each end. Appropriate switching circuitry is included with the buttery in the second device to convert the dc power of the buttery to a power for transmission to the first device. This apower may be modulated, as desired, to also transmit information, e.g., control signals, from the second device to the first device. Thus, only ac power passes through the commercing cable.

Yet a further embodiment of the invention, hereafter referred to as the "proximity system" embodiment, resides in a fully implantable system that includes first and second implantable devices. The first device houses electronic circuitry for performing a desired function. The second device 15 houses a rechargeable battery or other replenishable power source, and may also include additional circuitry. There is no direct electrical or physical connection between the first and second devices through which power and/or control signals are consumitiested from one device to the other. That is, there is no detachable cable that connects the two devices together as is the case with the "wired system" embodiment. Rather, power and control signals are inductively (susquestically) coupled from the second device to the first device in the same unamer as is used to couple power and control signals between an external unit and an implanted unit in existing systems. Thus, one use of this proximity system embodiment allows a second device, to be implanted proximate an implant device of an existing system, thereby effectively upgrading the existing system to a fully implantable system.

A variation of the invention that may be used with any of the above embodiments resides in an implantable device in which the case is formed of a relatively high resistivity material that similarly limits heat generating oddy currents in the case.

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A further variation of the invention useable within any of the above embodiments includes a circuit, also housed within the case, that is faid out without forming relatively large current 0 loops to himit heat generating eddy currents in the circuit.

The invention is also embedied in a nechargeable battery, subjected to externally induced as magnetic fields, that includes a substantially hermetic housing and first and second electrodes, housed within the hermetic housing, for storing and providing electrical power. Each of the electrodes is configured to prevent forming relatively large current loops. More specifically, each electrode may be a relatively flat conductive plate lying substantially in a phase and having sitis in the flat plate to reduce the area of closed loops in the plane of the plate. The first and second electrodes also may be confusive ribbons that are coiled into a spiral without forming a closed loop along the spiral. Alternatively, the first

electrode may be formed of four ribbons connected in parallel and the second electrode may be formed of four ribbons connected in parallel. The four first electrode ribbons and the four second electrode ribbons are wrapped into a coiled spiral without forming a closed loop along the spiral. Also, the hermenic housing may be formed of a high-resistivity material to limit heat generating eddy currents in 5 the housing.

Another embediment of the invention resides in an implant device, e.g., a coclibear minulation device or a neural stimulator device, having a relatively flat case, an electronic circuit housed within the case, a coil that surrounds the case, and a battery that is also housed in the case. The electronic circuit generates electrical pulses for stimulating, e.g., cochicar or other nerves, and the coil lies 10 substantially in a plane paraflet with case's flat partium and receives electrical power induced from external ac magnetic fields. The battery is coupled to the coil for battery recharging and has first and second electrode places. Each electrode pinte has a surface area that is relatively parallel to the plane of the flat case and that is configured to reduce the magnitude of eddy currents induced in the plane by the external ac magnetic fields during battery recharging.

In a specific embodiment of the invention, the surface areas of the first and second electrode plates are relatively flat and have a length of about 1 inch and a width of about 1 inch. Each electrode plate has a plurality of slits that extend across a substantial portion of the plate's surface area to produce regions of the surface area that each have a relatively long slender shape. All of the slits are substantially parallel and form a comb of conductive testh. The conductive testh have a width of about 20 0.040 inches and the slits have a width of about 0.001 inches and a length of about 0.900 inches. The slits form gape, between the conductive teeth, that may be filled with an insulative material such as nylon, polypropylene, epoxy or other compatible insulating material.

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in another embodiment of the invention, the case is formed of a metal material having a relatively high resistivity, such as the altoy Titanium, (6% aluminum, 4% vanadium), or Titanium, 25 (8% aluminum, 1% molybdonum, 1% vanadium), and may be coated with an epoxy or plastic material. The coublear implant device may further include a coil that encircles the case and that is embedded in the epoxy material, the coil for receiving externally induced ac power. The battery may be a rechargeable lithium ion battery and the device may further include a recharge control circuit that is connected between the coil and the battery for rectairging the battery to specific voltage, such as 4.0 volts, or a specific 30 amount of contents of electrical current using power induced or received through the coil. Alternatively, the device may further include a coulomb commer that measures the charge delivered to the hattery during recharging and the charge delivered by the battery during discharge.

In yet another embodiment of the present invention, the device is an implant device that includes a case, a battery, and an implant lead that extends from the case. The lead has a plurality of 35 electrodes for stimulating cochlear nerves within the cochlea, or stimulating other parts of the body. The battery is housed within the case and has first and second electrode plates. Each electrode plate has a surface area with a plurality of slits that extend across a substantial portion of the plane's surface area to produce areas having a relatively long stender shape. In comparison with a plate without slits of a similar surface area, the stitted electrode plates of the present investion reduce the magnitude of eddy currents induced in the plate by external ac magnitic fields. The reduced eddy currents allow greater imagnetic fields with less hearing to neemil faster battery recharging times.

The present invention also resides in a method for recharging a battery within an impiant device, e.g., within a occhiear implant device, that involves inducing an accurrent in a cull that encircles the implant device, or that is contained within the implant device, or that is attached with two or more wires to the implant device, recitifying the induced occurrent to produce do current, and charging the battery using the decurrent until the battery's voltage reaches a predetermined battery charge voltage of or a predetermined coulomb value. For maximum battery lifetime for a lithium ion battery, the battery is charged to a voltage of no more than about 4.0 volts and is disclurred to a voltage of no less than about 3.0 volts.

Such method for recharging may also be used, in accordance with another embediment of the invention, to provide backup operating power to the implant circuitry in the event the internal 15 rechargeable battery malfunctions, or is not to be used. Such backup powering may be accomplished, for example, using the same or a similar, small, lightweight external device, that is used for battery charging. Advantageously, having the option of providing backup power in this manner affords the patient the ability to defer indefinitely corrective and/or battery-epiacement surgery.

The backup powering option also allows greater flexibility in how the implant 
20 shimulation device is used. For example, in a co-tilear implant device, it may be advantageous to climage 
the speech processing strategy that is used to control the stimulation of the auditory nerves in the cochleaSuch speech processing strategy, in the first instance, is programmed inside of the implantable device. 
Should a new speech processing strategy be desired, and in the event reprogramming of the speech 
processing strategy within the implantable device is not feasible or possible, then a small, lightweight, 
25 behind the car unit could be worn by the patient that incorporates the new speech processing strategy, and 
powers and controls the implanted stimulation circuitry within the implantable device to apply the new 
stimulation strategy.

The invention further includes an implant system consisting of two packages. In one specific embodiment, the first package uncludes the coil, battery, bottery charging and power regulation circuitry and some of the electronics circuitry (signal handing and processing circuitry that may potentially need to be updated or upgraded in the future as new signal processing and data handling technologies evolve. The second package includes the wires going to the stimulation and sensing electrodes and devices, and the interface circuitry for stimulating and sensing, as well as other signal processing and conditioning circuits which are intimately associated with the stimulation and sensing functions performed within the second package, and which are not likely to change or nood to be updated or upgraded as new technologies evolve. Thus, the first package is a package that can, if needed, be

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replaced or upgraded at a future time through mutor replacement surgety. The second package is a package that, once implanted, should not ever need replacing or upgrading.

Also, in both the first and second packages, circuitry is included to permit capacitive compiled data transmission and reception circuits that are used to transfer data and power between the two 5 packages. The packages can be completed with a detachable cable ("wired system") or can be coupled together through induction coupling ("proximity system"). In the wired system, by way of example, data may be transferred between the two packages on two or three wires, while power may be transferred on three wires via a capacitor-coupled 3-phase square wave signal that does not allow do current to flow outside of the hermetic real of the packages. The 3-phase signal, when received at the other package, can 16 simply be recombined to create a DC signal using synchronized switching without the need for filtering capacitors and with negligible triple. In the proximity system, power is transferred via an ac carrier signal, and data is transferred by modulating the carrier signal.

## BRIEF DESCRIPTION OF THE DRAWINGS

The above and other aspects, features and advantages of the present invention will be 
15 more apparent from the following more particular description thereof, presented in conjunction with the 
following drawings wherein:

FIG. 1A illustrates a typical cochlear stimulation system as currently used by many patients, including an implantable cochlear stimulator (ICS) that is inductively coupled with an external headpiece (HP) connected with an external speech processor (SP) and power source.

FIG. 1B illustrates a behind-the-ear (BTE) cochlear stimulation system that includes an implamed cochlear stimulator (ICS) and an external BTE unit that includes a power source, a speech processor and a microphone.

FIG. 1C shows one type of a single mut, fully implantable system made in accordance with the present invention.

FIG. 1D shows one type of a fully implantable, partitioned, wired system in accordance with the invention

FIG. 1E shows one type of a fully implantable, partitioned, proximity system in accordance with the invention.

FIG. 2A is a plan view of a representative single unit, fully implantable, cochicar implant device in accordance with the present invention.

FIG. 2B is a plan view of an AC power pickap coil for use in recharging a battery of the cochlear implant device of FIG. 2A.

FIGS. 3A, 3B and 3C are plan views of exemplary battery electrode plates for reducing induced edity currents on the plates, in accordance with the present invention.

35 FIG. 3D illustrates one way in which the electrode plates shown in FIG. 3B and 3C may be rolled to form a small battery. WO 99/06108 PCT/I:598/15996

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FIG. 4 is an elevation view of a battery electrode plate and separator stack.

FIG. 5 is a cross-sectional view, taken along line 5-5 of FIG. 1, showing an epoxy conting covering the coefslear implant device.

FIG. 6 is a plan view of a circuit board having predetermined circuit regions for 5 reducing eddy currents in accordance with the present invention.

FIG. 7 is a plan view of a first embodiment of a spiral hattery electrode configuration,

in accordance with the present invention, far reducing induced eddy currents.

Fig. 8 is an elevation view of the battery electrode of FIG. 7, prior to rolling the

electrode into the spiral configuration.

10 FIG. 9 is a plan view of a second embodiment of a spiral battery electrode configuration, in accordance with the present invention, for reducing induced eddy currents.

FIG. 10 is an elevation view of an electrode attachment ring for parallel electrode connection of the spiral battery of FIG. 9.

FIG. 11 is a graph of battery voltage verses battery charge capacity.

15 FIG. 12 is an elevation view of flexible circuit board prior to rolling it into a C-shaped or spiral configuration.

FIG. 13 is a plan view of the flexible circuit board of FIG. 12, rolled into a C-shaped or spiral configuration.

FIGS, 14A and 14B are a plan and profile (side) view, respectively, of one embodiment

of a fully implantable, partitioned, proximity system made in accordance with the invention.

FIGS. 15A and 15B are similarly a plan and profile view, respectively, of another embodiment of a fully implantable, partitioned, proximity system.

FIG. 16 is a profile view of yet another embodiment of a partitioned proximity system.

FIG. 17 illustrates a functional block diagram of the circuits used within a partitioned

25 proximity system embodiment of the invention.

FIG. 18 similarly illustrates a functional block diagram of the circuits used within a partitioned wired system embodiment of the invention.

FIG. 19 shows a block diagram of a preferred 3-phase transmission system for transferring power between two implantable devices.

30 FIG. 20 is a waveform diagram illustrating the operation of the 3-phase transmission system of FIG. 19.

Corresponding reference characters indicate corresponding components throughout the several views of the drawings.

## DESCRIPTION OF THE PREFERRED EMBODIMENTS

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The following description is of the best mode prescutly contemplated for carrying out the invention. This description is not to be taken in a limiting sense, but is made merely for the purpose of describing the general principles of the invention. The scope of the invention should be determined with reference to the claims.

#### Overview

The present invention relates to a fally implantable device having a reclargeable battery

(or other power source). In a preferred embodiment, the implantable device comprises a fully implantable
cochlear stimulation system, and thus such a cochlear stimulation system is described herein. It is to be
understood, however, that the present invention may also be used with other types of implantable systems,
and is not intended to be limited to just a cochlear stimulation system. Any medical or other device or
system which must be implanted in living tissue, or a similar environment, and which requires operating
power from a replenishable power source, such as a rechargeable battery, and wherein the operating
power runst be inductively or magnetically or otherwise coupled into the implantable device without a
direct electrical connection, may benefit from the application and teachings of the present invention.

To better understand and appreciate the present invention, it will be helpful to briefly review current or existing cochlear stimulation systems, which are representative of all tissue-stimulating systems, A representative cochlear stimulation system of the type currently used by many patients is fully described, e.g., in United States Patent No. 5,603,726, previously referenced. As described in the '726 patent, and as illustrated in FIG. 1A, such evisiting system includes implanted and external components. The external components include a speech processor (SP), a power source (e.g., a replaceable battery), and a headpiece (HP) 165. The SP and power source are typically founced within a wearable unit 102 that 20 is worn or carried by the patient. The wearable unit is electrically connected to the HP 106 via a cable 104. A micropione 107 is also included as part of the headpiece 106.

The implanted components include an implantable cochlear stimulator (ICS) 112 and an array of electrodes 114. The electrode array 114 is intended for implantation within the cochlea of the patient. The ICS 112 is implanted behind the ear, so as to reside near the scalp. The electrode array 114 is necromently connected to the ICS by way of a multi-conductor implantable cable 116.

Inside of the headpiece 106 is a coil that is used to inductively or magnetically couple
a modulated ac carrier signal to a similar coil that is included within the ICS 112. In order to achieve
efficient coupling, without suffering significant losses in the signal energy, it is important that the
external coil within the headpiece be properly aligned with the internal coil inside the ICS. To achieve
proper alignment, a magnet is typically included within both the headpiece 106 and the ICS 112, and the
resulting magnetic attraction between the two magnets not only aligns the coils, as desired, but also
provides a holding force that maintains the headpiece 105 securely against the scalp or skin 110 of the
patient.

In use, a carrier signal is generated by circuitry within the wearable unit 102 using 35 energy derived from the power source within the speech processor unit 102. Such carrier signal, which is an ac signal, is conveyed over the cable to the headpines 106 where it is inductively coupled to the coil

within the ICS 112. There is is rectified and filtered and provides a dc power source for operation of the circuitry within the ICS 112. Sounds are sensed through the external microphone 107, amplified and processed by circuitry included within the speech processor unit 102, and converted to appropriate simulation signals in accordance with a selected speech processor entit 102. These simulation signals modulate the carrier signal that transfers power to the ICS 112. The ICS includes an appropriate demodulation circuit that recovers the stimulation signals from the modulated carrier and applies them to the electrodes within the electrode array 114. The stimulation signals identify which electrodes, or electrode pairs, are to be stimulated, and the intensity of the stimulated.

Scause embodiments of the ICS 112, as indicated in the '726 potent, include a backtelemetry feature that allows data signals to be transmitted from the ICS 112 to the headpiece 106, and hence to the Speech Processor 102. Such backtelemetry data provides important feedback information to the speech processor regarding the operation of the ICS.

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When adjustment or fating or other diagnostic routines need to be carried out, an 15 external programming unit 108 is desicably connected to the SP main 102. Through use of the external programmer 108, a clinician, or other medical personnel, is able to select the best speech processing strategy for the patient, as well as set other variables associated with the stimulation process. See, e.g., United States Patent No. 5,626,629, for a more detailed description of a representative fitting/diagnostic process.

Although the system shown in FIG. 1A has been of great value and benefit to many patients who could not otherwise experience the sensation of hearing, there are several drawbacks associated with use of the system. For example, the wearable unit 102 must be worn or carried by the patient, and the cable 104, which may be up to one meter long, must be routed from the unit 102 to the headpiece 106. Some patients find wearing the unit 102 to be inconvenient, and find the use of the 25 beadpiece 106, with its cable 104, to be unsightly and uncounfortable.

In order to eliminate the need for the cable 104, a behind-the-car (BTE) unit 120 may be used, as illustrated in PIG. 1B. the BTE unit 120 includes everything that was previously included within the wearable unit 102, only in a much smaller volume. The BTE unit 120 thus includes a suitable power source, as well as circulary for performing a desired speech processing function. With the BTE unit 30 120, there is thus no need for the cable 104, and the patient simply wears the BTE unit behind his or her ear, where it is hardly moriced, especially if the patient has hair to cover the BTE unit.

Advantageously, the botteries employed within the wearable until 102 (FIG. 1A) or the BTE mm 120 (FIG. 1B) may be rendily replaced when needed. Still, the BTE until 120 may become ancomfortable to wear when worn for long periods of time, and must be removed at certain times, such as when swimming or bathing. Some patients would thus like the convenience of being able to licar at all times, including when swimming or bathing, and thus a fully implantable stimulation system is desired.

The present invention is directed to fully implantable devices and systems that employ a rechargeable battery or other replicationally power source. While it is known in the art to use an implantable stimulating device with a rechargeable battery, see, e.g. applicant Schulmar's cartier United States Patent No. 3,942,535, such recharging systems require a bulky external recharging systems, and are time consuming to use. In contrast, the present invention provides a rechargeable battery, and method of recharging the battery, that allows the recharge operation to occur quickly and conveniently, without significant imment on the patient's lifestyle.

of the fully implantable system, including the ability to use the ICS 112 of the prior systems in a fully implantable system, including the ability to use the ICS 112 of the prior systems in a fully implantable system.

A fully implantable single unit system 130 made in accordance with the invention is shown in FIG. 1C. As illustrated in FIG. 1C, such system 130 includes the ICS circuitry, the speech processor circuitry, and a power source within a single unit 132. An electrode array 114 is connected to the single unit 132 in conventional manner. For the embodiment chown in FIG. 1C, a microphone 134 is coupled via a telecoil link to the single unit 132. Such telecoil link powers the microphone carcuits through magnetic coupling from the unit 132. Sounds sensed by the microphone 134 are transmitted to the unit 132 via and fransmitter built-in to the microphone 134. (The transmission distance for such signal is very short, only a continueter or two, so not much power is needed for such transmission.) Advantageously, such microphone 134 is inserted inside the ear canal so it is not visible externally.

Other types of microphones may also be used with the implant unit 132. For example, externally-generated sound waves may be sensed through the patient's skin and case of the single unit 132 at locations where the case shell is properly supported and of the proper thickness.

When the battery included within the single unit 122 needs to be racharged, which may only be a few minutes a day, or a few times during the wock, an external headpiece 136 is placed adjacent 25 the unit 132, and inductive coupling is used to transfer charging power to the unit's battery. The external headpiece, in turn, connects to an external control unit 138, which may, in turn, derive its power from replaceable batteries or from an ac power plug. When programming and/or diagnostic tests are needed, as external programming 108 may be detachably connected to the external control unit 138.

The external control unit 138 is thus used to charge/recharge the battery within the implanted unit 132, as well as for other purposes. For example, the external control unit 132, as well as for other purposes. For example, the external control unit 138 may be used to override the internal speech processor with an external speech processor, a.g., a speech processor included within the external programmer 108. Further, the external control unit 138 may he used to boost the power provided by the internal battery. The external control unit 138 may also be used for programming the implant device 132, e.g., fitting the ICS after implant or adjusting the stimulation as parameters of the fully implantable unit 132, as well as for chapmostic purposes.

For the embodiment 130 shown in FIG. 1C, as well as for the other embodiments shown in FIGS. 1D and 1E, discussed below it is to be understood that backtelemetry may be employed to allow

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data signals to be sent from the implanted unit to the external headpiece 136, and hence to the external control unit 138.

Turning next to FIG. 1D, a "wired system" embodiment 150 of the invention is depicted.

in such wired system 150, at least two separate implantable units 152 and 154 are employed and the

circuits of the system are partitioned between the two units. In a first unit 152, for example, speech

processor (SP) and ICS circuitry are housed, and such unit is permanently connected to an electrode army

114. In a second unit 154, a bottery, or other suitable power source, is housed. The second unit 154 is

electrically connected to the first unit 152 via a detaclable cuble 156. In a preferred embodiment, only

are power is coupled from the power unit 154 to the SP/ICS unit 152, thereby preventing any possibility

that a decurrent night flow through the tissue through which the cuble is routed. This is important

because a decurrent could cause damage to the tissue, whereas an accurrent will not. Also, because the

cable us not hormelically invaluated from the surrounding tissue, it is very possible that minor leakage

current could flow through the tissue if it carried decurrents.

The second unit 154 includes appropriate switching circuitry that converts the de power 15 associated with the battery (or other power asorage element) therein to an ac signal for coupling to the first unit 152. Also, appropriate circuitry is employed to allow ac power induced into the unit 152 from the external hoddpiece 136 to be directed to the battery in the unit 154 is order to charge the battery.

Although the preferred power source for use within the fully implantable systems described herein is a rechargeable battery, it is to be understood that other power sources may also be employed. For example, an ultracapacitor (also known as a supercapacitor) may be used. An ultracapacitor, like a correctional capacitor, allows an electric charge (voltage potential) to be stored therein. Unlike a regular cupacitor, the energy density of the ultracapacitor is orders of magnitude greater than the energy density of a normal capacitor, thereby allowing a grant smount of energy to be stored in the ultracapacitor. This stored energy may then be withdrawn from the ultracapacitor for subsequent use.

25 Thus, for this type of application, where recharging must occur on a regular basis, and when appropriate

discharge circuits are employed to control the rate of discharge or energy withdrawal, the ultracapacitor provides a viable alternative to a rechargeable battery for use within the implantable system.

A suitable microphone, e.g., a complete-in-cannel (CIC) microphone 134 of the type

A suitable microphone, e.g., a complete-in-cannel (CIC) microphone 134 of the type described previously, is used to sense sounds and couple signals representative of such sounds to the 30 speech processor (SP) circuits within its respective implantable portion.

It should be noted that the partitioning illustrated in FIG. 1D, which shows that the ICS and SP circuity are included within the first implantable unit 1S2, and which shows that the power source, e.g., rechargeable battery, is included within the second implantable unit 154, such partitioning is only exemplary. For some cubbodiments, for example, the SP circuity may be included within the 55 second implantable unit 154, leaving only the ICS circuity within the first implantable unit 154.

The advantage of the wired system 150 shown in FIG. 1D is that a fully unplantable system is provided wherein one of the two implantable units, e.g., the power unit 154, may be replaced.

if necessary, through only minor surgery. As indicated, the cubic 156 that connects the second unit 154 to the first unit 152 is detachable. The implantable connector that connects the cable 156 to the unit 154, may be of any suitable type, e.g., of the type commonly used with implantable pacemakers, or of the pressure type shown in United States Patent No. 4,516,820 (Kuzana), or of the type shown at United States

5. Patent No. 4,495,917 (Byets).

The external headpiece 136 and external control unit 138, and programmer 108, may be used with the wired system embodiment 150 shown in FIG. 1D in the same manner as these components are used with the single unit embodiment 130 shown in FIG. 1C.

Turning next to FIG. 1E, a partitioned preximity system 160 is shown that is similar to the wired system 159 shown in FIG. 1D, but without the asc of a connecting cable 156 between the two units. As seen in FIG. 1E, a first implantable unit 112' comprises an ICS with an electrude array 114 connected thereto. An advantage of the proximity system 160 is that the first implantable unit 112' may be substantially the same as, or identical to, that of the ICS 112 used in existing occhleur attinulation systems (see FIG. 1A or FIG. 1B). This allows existing stimulation systems having an ICS 112 to be 13 upgraded to a fully implantable system as shown in FIG. 1E. A second implantable unit 162 includes speech processor (SP) circuits and a power source, e.g., a reclargeable buttery. The second unit 162 is implanted so as to be in close proximity to the first unit 112'. A coll associated with the second unit 162 is aligned with the coil included within the ICS 112', e.g., as shown below in connection with the description of FIGS. 14.A-16. This allows inductive coupling to occur between the implantable units 112' and 162 in the same manner as occurs between the BTE unit 120' and the ICS 112 shown in FIG. 1B, or between the headpiece 166 and the ICS 112 shown in FIG. 1A.

A suitable microphone, e.g., an complete-in-cannel (CIC) microphone 134 of the type described previously, is used to sense sounds (pressure waves) and couple electrical signals representative of such sounds to the speech processor (SP) circuits within the implantable portion 162.

The external headpiece 136 and external control unit 138. and programmer 108, may be used with the partitioned proximity system embediment 160 shown in FIG. IE in the same manner as used with the single unit embediment 130 shown in FIG. IC and the partitioned wired system embediment 150 shown in FIG. ID.

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By using the system shown in FIG. 1E, it is seen that the following advantages are
achieved: (1) older implants, i.e., existing tCS units 112, may be upgraded to fully implantable systems
without replacing the implant unit 112 and electrode 114: (2) implantable systems may be upgraded with
improved batticty (or other power source) technology and lower-power more-sophisticated SP circuits, as
such become available, with only minor surgery for the patient; (3) batteries can be replaced with only
ininor surgery, as required; and (4) charging, override, power hoost, fitting and diagnostics may be
performed by simply overriding the implanted SP circuits with an external speech processor.

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### Improved Eattery Charging

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Next, the techniques employed by the invention to make the charging of the battery more efficient will be described. Such description, in general, is presented in connection with a single unit system 130 of the type shown in FIG. 1C, adapted for use as a cochlear stimulator. It is to be 5 understood, however, that such techniques are equally applicable to any of the other embodiments of the invention described herein.

With reference to FIG. 2A, it is seen that the invention is embodied in an amplant device 10 having a case 12, an internal battery 14, and an internal circuit 16 that are configured for improved battery charging time and lifetime characteristics. The battery's charging time is largely limited by the 10 amount of heat generated during battery charging. During charging, a significant amount of heat may be generated by eddy currents induced in the implant's conductive structures and current paths. If the implant's temperature rises too high, damage to the surrounding tissue may result. The implant devices of the present invention are configured to reduce the amount of heat generated during the battery's charging time and to extend the battery's lifetime.

As has been indicated, a preferred application for the present invention is with an implanted cochlear stimulation device. Hence, in the descriptions that follow, reference is frequently made to a cochlear implant device. However, it is to be emphasized that the invention is not limited to a cocldear implant device. Rather, the invention may be used within any implantable device wherein there is a need or desire to teduce eddy currents at a time when the implantable device is exposed to 20 alternating magnetic flux of a type that would inherwise introduce eddy currents.

Referring to FIG. 2A, one embediment of the invention is a cochlear implant device 10 that includes, in addition to the case 12, battery 14 and circuit 16, an implant lead 18 that extends from the case. The case houses the internal battery 14 and the signal processing circuit 16 and is surrounded by a coil 22, shown in FIG. 2B. A large portion of the case's internal cavity is occupied by the battery 14. 25 The case is preferably implanted under the skin behind a patient's ear with the implant lead spiraling into the patient's cochica. The implant lead has electrodes 20 for stimulating nerves within the cochlea with electrical pulses generated by the electronic circuit in response to audio sound signals from a uncrophone or the like thus allowing simulated hearing perception. A typical implant lead has between eight and thirty-two electrode pairs. Each electrode is connected to the circuit by the separate conductor.

The battery 14 is recharged using rectified ac power (or dc power converted from acpower through other means, e.g., efficient ac-to-de converter circuits, also known as "inverier" circuits) received by the coil 22 that surrounds the case 12. To recharge the battery, an outside unit 15 that generates ac magnetic fields is placed against the maside of the patient's skin over the implant device 10. The ac magnetic fields 17 from the outside unit induce ac currents in the coil of the implant device. The 35 coli is connected through ceramic insulated feedthrough pins to the electronic circuit 16 within the case which rectifies the accurrent to produce de current which is used to charge the battery with the power received through the coil. The ac magnetic fields, however, also induce heat generating eddy currents in

the mutal case (if metal), the electronic circuit, and the buttery's electrode plates. Accordingly, the battery's recharging rate is limited by the maximum permissible case temperature, i.e., the ability of living tissue surrounding the implant to tolerate elevated temperatures. During battery recharging, a case temperature rise of only a few degrees contigrade can be extremely dangerous and cause damage to the 5 surrounding living tissue,

To reduce the heat generated by the induced eddy currents in a metal case, the case 12 is fabricated of a biologically men metal having a relatively high resistivity. Because the heat generated by the eddy currents is related to i'R, where i is the current and R is the resistance, increasing the resistance R of the metal case decreases the magnitude of the eddy currents and accordingly decreases the 10 hear generated by the eddy currents. Also, the thickness of the case shell or wall is minimized as much as simulturally possible to further increase the resistance in the case shell or wall. Preferably, the metal case has a wall thickness of between 0.002 and 0.004 inches and is fabricated of Titamium, (6% aluminum, 4% vanadium). Titariums, has a resistivity of 177 micro-olms centimeter which is about 60 times the resistivity of copper.

Alternatively, the case 12 may be fabricated of another metal such as Titanium, or (8% Altentinum, 1% Vanadium, 1% Melybelettum) Hainess, or of a ceramic such as altentinum exide (AlO.) or zurconium oxide (ZiO<sub>x</sub>) with extramic insulated feedthrough pins. A ceramic case, however, would require thicker walls resulting in a larger implant device. Further, the case may be fabricated of a water resistant plastic with an internal water getter to absorb any meisture that may slowly leak through the 20 plastic case.

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The bartery 14 is preferably a rechargeable lithium ion battery, or a nickel metal hydride (NIMH) battery. In an improved exchlear implant device 10 exemplary of the present invention, the implant lead electrodes 20 consume about 1 milliwatt (mW) of hattery power and the electronic signal processing circuit 16 consumes about 6 mW of battery power. At these levels of power consumption, a 25 3(6) nsW-hr battery will last about 50 hours (or about 2 days). A typical reclurgeable lithium ion battery has a lifetime of about 500 recharging cycles and, if recharged every two days, would thus last only about 3 years. As discussed below, more frequent battery techarging may increase the battery's lifetime.

The heat generated by eddy currents induced on the battery's electrode plates is reduced by using plates 24 having elongment slits 26 out through a substantial portion of the plates, as shown in 30 PIG. 3A. Each electrode plate generally has a thickness of about 0.001 inches, a width of about 1 inch, and a length of about 1 inch. The shis are typically cut using a saw blade having a thickness of about 0.001 inches. Thus, after sawing, a gap of about 0.001 inches remains between the plate material resulting in a comb pattern of conductive teeth 28. The teeth may be configured to extend in a single direction or in many different directions. The spacing between the slits determines the width of the conductive teeth. 35 To support higher magnetic fields associated with faster recharging rates, the slits should be spaced closely together resulting in narrower teeth. However, while cutting more slut through the plate generally results in smaller induced eddy currents, each cut lowers the conductive capacity of the plate and increases the

battery's manufacturing cost. An advantageous conductive tooth width is about 0.040 inches with a gap of about 0.062 inches. The stit length may be shortened near a wire attachment point of the electrodes for increased current capacity near the wire attachment point. Also, the sit's gaps may be filled in with an insulative material such as uplot or polypropylene or other suitable insulation material. Additionally, the electrode plates, aithough shown in FiG. 3 as having substantially square surface, may have a variety of surface shapes and slit configurations that are effective in reducing the eddy currents and filling the case's crivity.

PIGS, 3B and 3C illustrate additional battery plates 24 that may be used with different shaped batteries. Each includes teeth 28 separated by slits 26 as described above.

FIG. 3D shows the mouner in which a small-sized bettery may be formed by rolling the plattes 24 of FIGS. 38 or 3C. Regardless of the shape or form factor of the lautery, the goal of the invention romains the same: to use slits 26 in the plate 24 that prevent the formation of closed current loops, because it is the closed current loops that give rise to the audesired eddy currents.

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As shown in FIG. 4, the buttery 14 is built of cells consisting of alternating layers of first

and second electrode plates, 30 and 32, respectively. A first separator 34 is formed of polypropriene and
has tiny holes or pores that allows water and salts: to pass through the separator. But that prevents larger
molecules and metals from passing through it. Further, the first separator material may seal the electrode
plates. A second separator 36 completely insulates each battery cell or layer from the adjacent cells above
or below the layer. A relatively high-resistivity liquid paste is inserted between the electrodes and the
separators. Because of the paste's relatively high resistivity, the amount of heat produced by eddy currents
through the past is very small.

The positive electrode is formed of aluminum and the negative electrode is formed of either silver or capper. Silver has signally better conductivity (+5%) than copper, but is generally more expensive than copper. If an electrode uses an impregnated electrical compound matrix, the chemical compound matrix may be painted or coated onto the conductive teeth. Alternatively, the conductive teeth may be fing this wires that are cris-crossed with impregnated chemical strands.

As shown in PTG. 5, the entire menal case 12 is coated with a thin layer of Hysol epoxy 38 thaving a thickness of about 0.061 suches. The epoxy is a thermal insulator having a relatively low heat conductance in comparison with the heat conductance of the menal case. Thus, the epoxy layer allows the metal case to conduct line in train localized areas of relatively high temperature to cooler arous of the case before the heat can conduct through the epoxy layer preventing a substantial rise in the temperature of adjacent living tissue. Accordingly, the epoxy coming enables faster battery recharging rates because the ac induced heat generated at localized hot spots of the case is allowed to diffuse, resulting in surrounding tiving tissue adjacent the localized get spots being exposed to lower localized temperatures. Thus, an 5 epoxy cound metal case will remain below the maximum permissible case temperature at higher charging rates.

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As seen in FIG. 6, the circuit 16 is faid out on a circuit board having circuit regions 42 and imaginary nonconductance lines or boundaries 44. The nonconductance lines prevent large circuit loops that may allow large eddy current loops to exist in the circuit. The circuit is laid out so that no consponents and conducting paths crossover the nonconductance hines, thereby precluding the formation of large eddy currents in the circuit. In a multilayer circuit board, the imaginary nonconductance lines 44 should extend through all of the board's layers, or at least similar nonconductance boundaries or lines 44 should be imposed as a lay-out rules for each layer. Accordingly, the circuit layout is limited to the circuit regions, thus preventing large eddy currents in the circuit layout, and reducing the heat generated during battery clurging to provide faster bettery recharging times.

In an alternative embodiment of the invention, shown in FIGS. 7 and 8, the battery 14° is formed of a ribbon of long electrodes 46° and 48° and separators 50° and 52° would [rolled] in a spiral configuration. In the plane of the spiral, the cross-sectional area of the thin electrodes is very small with no elosed current longs along the length of either electrode, thus preventing large eddy currents from being induced in the electrodes during recharging through the coil 22.

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In a similar alternative embodiment of the invention, shown in FIGS. 9 and 10, the 15 battery 14" is formed of four ribbon components 54 that are connected together in parallel and that are wound in a spiral configuration. Such configuration advantageously permits allows a much faster charging time that does, e.g., the configuration of FIG. 7. However, the cost of such faster charging time is a lower capacity, i.e., a lower battery current. The ribbon components of an actual battery are much 20 longer than those shown in FIG's, 6 and 7. Also, for clarity, the necessary separators between the electrodes 56 and 58 are not shown. The resistance along a single ribbon may be significant at fast battery charging rates during which significant current flows through the ribbon and may result in chronic battery over charging of the ribbon near the buttery's terminals or chronic undercharging of the ribbons at the open and. Dividing the ribbon into four shorter ribbons raduces any voltage differential along a single ribbon 25 by a factor of four. Of course, more or fewer than four ribbons may be used in accordance with the present invention. The ribbons are connected in parallel through a center ring having an outer conductive surface 62 that is insulated from an inner conductive surface 64. The first or inner-most battery electrode 56 is connected to the outer conductive surface 62. The second or outer-most battery electrode 56 ends past the first electrode 58 extends past the first electrode and is folded at a right angle and wrapped under the 30 center ring to contact only the inner conductive surface.

The rechargeable battery's lifetime may be improved by using it only in low stress regions 66 of its operational range, as shown in FIG. 11. More specifically, rechargeable battery cycle lifetime is based on the number of full discharges and charges or cycles that the battery 14 can perform while maintaining its power delivery specifications. For a lithium ion hattery, a battery voltage of 2.55 volts indicates a fully discharged battery and a buttery voltage of 4.1 volts indicates fully charged battery charge. However, fully dischargeing or fully charging the battery "stresses" the battery and limits its lifetime. Operating the battery within a relativity "tow-stress" region 66 of the battery's operational range.

may significantly extend the battery's lifetime. For example, recharging the battery when its voltage drops to 3.0 volts (point 68 on the battery charging curve of FIG. 8.) and charging it to no more than 4.0 volts (point 70 on the battery charging curve) allows the battery to operate unity within the low-stress regions of its operational range. Thus, seen though the battery may have sufficient capacity to operate for two days 5 between recharging, battery lifetime may be extended by daily or twice daily partial battery recharging.

Alternatively, a coulomb counter may be used instead of, or in addition to, a voltage level meter to monitor the battery's charge level. The coulomb counter may also indicate the battery's power

In accordance with the present invention, the circuit 16' may be taid out on a long narrow strip 72 of flexible material such as Kapton, as illustrated in FIG. 12. The circuit's components 74 and metalization traces 76 between the components are attached to the flexible strip. The flexible strip is then rolled into a C-shaped or spiral within the case 12, as shown in FIG. 13. As discussed above with respect to the spiral battery (FIG. 7), the C-shaped or spiral circuit 16' avoids large current loops that could result in large eddy current loops during battery charging.

### 15 Fully Implantable Systems

efficiency.

Fully implantable systems made in accordance with the present invention have been described previously in connection with FIGS, 1C, 1D and 1E.

FIG. 14A shows a plan view, and FIG. 14B a side view. of one type of partitioned fully implantable promising system 160 (FIG. 1E). In the embodament shown in FIGS. 14A and 14B. an ICS 112' is positioned proximate an implantable SP/PWR unit 162. The ICS 112' is boused within a ceramic case of the type described in United States Parteur No. 4,991,582. Ceramic, or an equivalent material, is proferably used for the case material to facilitate magnetic coupling through the case. A metal harder 115 is bermetically scaled to one end of the ceramic case. Electrical feeditiroughs positioned in the header 115 provide an hermetic electrical connection of the individual conductors of the cable 116 (which goes to the 25 electrical feeditirough knows within the ICS 112.

The SP/PWR.unit 162 is housed in a case which may be metallic, e.g., titanium, stainless steel, or similar material that is compatible with body itssues, as described above. Two electrical feedthroughs 176 pass through one side of the case and attach to a coil 172. The coil is aligned with and positioned over the coil that is included within the ICS 112. The coil may be embedded within a suitable of material, such as an encassing mold 174 made of silicone rubber or other suitable material, which mold is formed so as to adhere to the sides of the SP/PWR unit 162 and the ICS 112. A complete-in-canal (CIC) microphone 134 is piaced in the car canni adjacent the implant location of the ICS 112 and SP/PWR unit 162. A relecoil link couples magnetic energy into the microphone which it uses as power to power its internal circuits. Sound (pressure waves) sensed by the microphone are converted to electrical signals which are transmitted via an RP transmitter or other saitable link the short distance to the SP/PWR unit 162. As needed, an external incidepose 136 (connected to an external programmer, not shown in

FIG. 14B) may be positioned over the implant devices, on the outer side of the patient's skin 110, so as to override the internal speech processor, provide a charging or boosting current for the implant device, or to perform fluing and/or diagnostic functions.

An alternative embodiment of the fully implantable partitioned proximity system 160

5 (FIG. 1E) is illustrated in FIGS. 15A and 15B. FIG. 15A is a plan view of such embodiment, and FIG.

15B is a side or profile view. As seen in these figures, the IGS 112' and the SPPWR unit 162 are placed side-by-side, preximate each other. Each unit has approximately the same thickness. Electrical feedthrought 176' at one end of the SPPWR unit 162 provide electrical connection for the coil 172'. Preferably, the coil 172' includes one or more turns of a suitable wire, e.g., a write made from one of the noble metals, held together to form a cable or held within a suitable flexible conduit.

During the implant operation, the ICS 112' is implained in conventional manner, and the SP/PWR unit is likewise implanted proximate thereto. The surgeon places the coll 172' so that it encircles the ICS 112', with the cable passing over the familial portion the electrode array cable 116. The surgeon who performs the implant expension may sature the coll in place, as needed. A microphone 134, and on external headpixee 136 are used with the SP/PWR unit 162 and ICS 112' as described proviously. Yet a further embodiment of the fully implantable partitioned proximity system 160 (FIG. 1E) is illustrated in FIG. 16. As shown in FIG. 16, which shows a profite view of such embodiment, an ICS 112' and an SP/PWR unit 162 are stacked on top of each other. For the embodiment of FIG. 16, it is preferred that the SP/PWR unit 162 also have a ceramic case, like the ICS 112; or otherwise be designed, so that magnetic signals may pass therethrough without significant degradation. An advantage of the embodiment of FIG. 16 is that the SP/PWR unit 162 need not employ any hermatic feedthroughs. Rafater, it may comprise a sealed hermatic unit having its coil inside of its case. A disadvantage of the embodiment of FIG. 16 is that the combined state of the ICS 112' and the SP/PWR unit 162 are at least bytic as thick as are the side-by-side embodiments, thereby requiring a deeper proxiect to be formed in the 25 patients tissue during implant, and perhaps resulting in a small bulge or bump on the patient's skit at the

During the implant operation for the embodiment of FIG. 16, the case of the SP/PWR unit 162 is simply placed over the ICS 112' so as to align its coil with the coil of the ICS 112'. If desired, a thin furrite sheet 180, or a sheet made from other smitable low magnetic relactance material, coated with 30 a suitable protective, biocompatible material, may be inserted between the outer waits of the two units in order to help confine and focus the magnetic field associated with the inductive coupling to the desired

implant site.

Turning next to FIG. 17, a simplified functional block diagram is illustrated of one embodiment of a proximity system 160 (FIG. IE). It is to be emphasized that the configuration shown in 35 FIG. 17 is functional, and is not intended to be limiting. It is submitted that those of skill in the art will readily be able to design circuitry that carries out the functions illustrated in FIG. 17 (as well as FIG. 18) given the teachings presented herein. WO 99/86188 PCT/US98/15996

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As seen in FIG. 17, the ICS 112' is attached to the electrode array 114, and also includes two coils 180 and 182. Coil 180 receives a carrier signal, rectifies it using diodes CR1 and CR2, and the rectified signal then provides operating power for the ICS. Coil 182 receives a modulated signal, which modulation contains the data dust defines and controls the stimulation signals that are applied to individual searches of the electrodes of the electrodes.

The SP/PWR unit 162 includes a rechargeable battery 192, designed to operate at a nominal operating voltage of 1-2 volts. Such battery 192 provides operating power for the analog front end (FE) circuitry 184, digital signal processing (DSP) and control circuitry 184 and a Power Driver circuit 199. The power driver carcuit 199 generates the carrier asgual that is inductively or magnetically coupled into the ICS 112' via coils 194 and 180. The analog FE circuitry 188 receives signals from the microphone 134 via coil 186, amplifies and preliminarily processes such signals for presentation to the DSP/Control circuitry 184. The DSP/Control circuitry 184 applies a selected speech processing strategy to the sensed signals, generaces the appropriate stimulation control signals for the ICS, and transfers such control signals to the ICS 112' through the magnetic tink created by coils 196 and 182. Diode CR3 allows 190 power received through coil 194 from an external headpiece 136 (e.g. during a recharging operation) that is in excess of the voltage of battery 192 to charge the battery 192.

When using a proximity system of the type shown in FIG. 17, the average battery life obtainable when such system is coupled to an ICS 112' of the type disclosed in the '226 patent, or equivalent system, assuming the indicated charge times per day, and the indicated battery type, is estimated to be as shown in Table 1.

TABLE 1 Estimated Battery Life

	Average	CIS	Average SAS		
Implantable NiMH		Charge		Charge	
Battery Volume.	Battery Life	Time/Day	Battery Life	Time/Day	
12 x 25 x 6.7 mm	6.3 years	26 minutes	4 years	41 mimaes	
17 × 36 × 6.1 mm	8.1 years	10 minutes	5.2 years	16 minutes	

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The type of battery used in arriving at the data presented in Table 1 is an NiMH battery, or mckel metal hydride battery, a proven safe battery for implant purposes. In Table 1, it should be noted that "CIS" stands for "Continuous Interleaved Sampler" strategy, and is a particular type of speech processing strategy of that stimulates only one electrode pair at any instant of time. "SAS", on the other hand, stands for a "Simultaneous Analog Stimulation" strategy, and is a type of speech processing strategy that may simultaneously stimulate many pairs of electrodes at the same time. Not surprisingly, and as shown in Table 1, an ICS operating in accordance with an SAS strategy consumes more power, and requires longer daily rectange times, than does an ICS operating in accordance with a CIS strategy.

FIG. 18 illustrates a functional block diagram of the main circuits used within a wired system embodiment of the invention. For the most part, the block diagram of FIG, 18 includes circuits that perform the same functions as those described above in connection with PTG. 17. The main difference between the circuits of the wired system of FIG. 18 from the circuits of the proximity system of FIG. 17 5 is that the wired system utilizes a cable 156 to electrically connect the ICS 112 with a SP/PWR unit 154'. The cable shown in PIG. 18 includes only two conductors, and is transfermer coupled on each end. That is, the coil 197, included within the hermetically sealed bousing of the SP/PWR unit 154°, is transformer coupled with a coll that is on the left end (as shown in FIG. 18) of the cable 156. Similarly, the coll 181, included within the hermetically scaled housing of the ICS 11.2', is transformer coupled with a winding 10 that is on the right end of the cable 156. The conductors connected to the colds on the left and right ends of the cable 156 pass through suitable fredthrough connectors of their respective cases so that the cable taself is not hermetically scaled. At some point at the ends or along the length of the cable 156, a suitable connector is used that allows the cable to be detachably connected between the two implantable units. Such configuration thus prevents do current from flowing across the link between the SP/PWR unit 154' 15 and the ICS 112', which is desirable. Preferably, power is transferred through the cable 156 as an ac carrier signal, and data is transferred as modulation of the ac carrier signal.

It is noted that other variations of the connecting cable 156 may also be used, as explained previously. For example, the conductor raisy comprise a five or six conductor cable that dilows data to be transferred between the two packages on two or three wires (conductors), while power is 20 transferred on three wires (conductors) via a capacitor-coupled 3-plane square wave signal. In such instance, where capacitive-coupling is used at each end of the cable, transformer coupling is not required. Such capacitive-coupled cable does not allow de current to flow outside of the hermetic seal of the quickages, as desired. The 3-phase power signal, when received at the other package, is simply recombined to create a DC standard using synchronized switching without the need for filtering capacitors and with 25 negligible tripple.

A preferred 3-phase transmission system for transferring power between two intplant devices, such as the SP/PWR unit 15<sup>4</sup> and an ICS 112<sup>4</sup>, is illustrated in FIGS. 19 and 20. FIG. 19 is a functional block diagram of such a 3-phase transmission system, and FIG. 20 is a waveform diagram that illustrates the operation of circuit of FIG. 19. As seen in FIG. 19, the battery 14 is connected to three switches S1, S2, and S3. (It is noted that while these switches are depicted as mechanical switches, including two terminals and an armature that is switched between the two terminals or to a flooding position, in practice such switches are typically realized using solid state switching devices as is known in the art.) Each switch may assume a "4" position, a "0" (of OFF) position, and a "-" position. When in the "+" position, the armature of the switch is connected to the positive side of the battery 14. When in the "0" position, the armature of the switch is connected to the negative side of the battery 14. When in the "0" position, the switch is not connected to the battery 14 and is open. The armature of each switch is connected to a crapting appacient C, and then to an electrical feedbirrough pin or connector 20. wheth

allows electrical connection to be made from a location inside of the hermetically-seared case to the three conductors P1, P2 and P3 that form part of (p/o) the cable 156 outside of the hermetically sealed case and which interconnects the two implant devices of the wired system 150 (FiG. 1D).

In use, the switches are controlled using a conventional timing circuit (not shown) which

connects one or two of the armatures to one side of the battery at the same time that the other armature

is connected to the other side of the battery. During a phase transition, i.e., when one armature switches

from one battery polarity to the other, the switch pauses in its "0" state to crease a dead zone time when

the armature is floating. This avoids introducing any switching transients on the armature inces, which

in turn creates a clean de voltage when the P1, P2 and P3 phases are recombined on the other and of the

olde 156 in the other implicit device. The advantage of using this approach is that the use of large filter

capacitors, which would ofterwise be needed in conventional rectifier circuit, can be avoided. Not having

to use large filter capacitors is very decimble for a small volume implant device.

To illustrate the switching operation, reference is made to FIG. 20, which illustrates the voltage wayeforms on the three phase conductors P1, P2 and P3. As seen in FIG. 20, at time t1, for 15 example. P1 is connected to the "+" side of the battery, P2 is connected to the "-" side of the battery, and P3 is connected to the "+" side of the battery. At time t1, a timing circuit 204, which controls the operation of the three switches \$1, \$2 and \$3, recognizes that switch \$3 (connected to conductor P3) needs to begin its transition to the "-" side of the battery. Therefore, shortly after time t1, the switch S3 is changed to its "0" state, where it remains at time t2. This means the voltage on P3 decreases to zero and remains there until sometime after time t2, when switch S3 is switched to the "-" side of the battery. In the meantime, both P1 and P2 remain steadfastly connected to the "+" and "-" sides of the buttery, respectively, providing a clean do voltage signal on the other end of the cable through the P1 and P2 conductors. At time 13, switch \$3 has completed its switching cycle, and is steadfastly connected to the "-" side of the battery 14, as is switch S2, meaning that at time t3 both P2 and P3 provide a "-" signal, 25 while P1 provides a "+" signal. At time 13, however, the timing circuit 204 recognizes that switch \$2 (connected to conductor P2) needs to begin its transition to the "-" sale. Therefore, shortly after time (3, switch S2 is changed to its "0" state, where it remains at time i4. This means the voltage on P2 decreases to zero and remains there with cometime after time 14, when switch S2 is switched to the "+" side of the battery. This process or cycle continues as each of the three switches \$1, \$2 or \$3, changes states between 30 its "+" and "-" states by passing through its "0" state.

At the receiving end of the cable 156, within the other tarplant device, e.g., within the ICS 112, a similar switching circuit is used to recombine the signals to provide a desired the voltage for powering the circuits found in the receiving implant device. In order to properly recombine the P1, P2, and P3 signals, appropriate synchronization is needed with the thring circuits within the first implant device (i.e., the timing circuits that were used to create the 3-phase signals found on fluc P1, P2 and P3 conductors). While such synchronization may be provided directly from the timing circuit 204 on a fourth conductor included within the cable 156, a preferred approach is to take the synchronization information

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From the P1, P2 or P3 agnals themselves, thereby obviating the need for an extra conductor in the cable 156. That is, it is known at the receiving end of the cable 156 which phase, and the order or sequence of the switched phases. Thus, for example, by monitoring the P1 signal at the receiving end, it is possible to sense when the transition occurs between its "\*+" and "\*-" states. This transition, once detected, may then be used to trigger appropriate synchronization circuitry within the receiving implant device in order to faithfully reproduce the necessary timing signals for recombiting the three phases signals P1, P2 and P3.

Although the present invention has been described in terms of a cociliear implant device, and while certain features of the invention are particularly suitable for use in a cochlear implant device, to it is to be emphasized that the reduced eddy current features of the invention, as well as the fully implantable partitioned features of the invention (e.g., partitioning various functions into separate coupled implanted packages) may be applied to other implantable neural or muscular simulation devices, or other implantable devices.

Thus, while the invention herein disclosed has been described by means of specific triboduneaus and applications thereof, numerous modifications and variations could be made thereto by those skilled in the art without departing from the scope of the invention set forth in the claims. WO 99/06108 PCT/L/S98/15996

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# CLAIMS

#### WHAT IS CLAIMED IS:

An implant system (10), comprising:

a case (12);

electrical circuitry (16) that provides a desired stimulating/sensing function; recharging means (22) for receiving electrical power induced from external at magnetic

#### fields: and

a battery (14) that is housed within the case and that is coupled to the recharging means and the electrical circuitry.

- 10 2. The implant system of Claim 1 wherein the battery provides operating power to the electrical circuitry (16) and has first and second electrode plates (24) that are configured to reduce the magnitude of oddy currents induced in the battery electrodes by external ac magnitude fields during buttery rechurging.
- The implant system of Claim 2 wherein each battery electrode is relatively flat and has
   a plurality of slits (26) that exceed across a substantial portion of the electrode to produce regions of the electrode that each have a relatively long slender stope.
  - The implant system of Claims 1 or 2 wherein the first and second electrodes comprise long thin electrodes that are coiled in a spiral.
- 5. The implant system of Claim 4 wherein the coiled first and second electrodes are formed into a relatively flat paneako-like shape that occupies more than fifty percent of the case's interior volume.
  - 6. The implant system of Claims i or 2 wherein the rectarging means comprises a cotl (22) positioned inside of said case (12), and further including an external power source (15) for coupling aperating power to the electrical circuitry (16) that supplements the operating power provided by the battery (14).
- 7. The implant system of Claim 1 or 2 wherein the recharging means includes a coil (22) positioned inside of said case (12), and further including an external power source (15) for coupling operating power to the coil (22) to provide operating power to the electrical circuitry (16) that replaces the operating power provided by the battery (14).

 The implant system of Claim 1 further characterized by two cases, a first case and a second case;

the electrical circuitry that provides the desired stimulating/sensing function being housed in the first case:

5 the recharging circuitry that receives electrical power induced from external ac magnetic fields being housed within the second case;

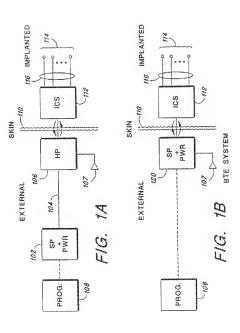
the rechargeable power source also being housed within the second case; and means for electrically coupling the first and second cases together.

- The implant system of Claim 8 wherein the rechargeable power source comprises a
   rechargeable bastery.
  - The implant system of Claim 9 wherein the rechargeable battery comprises a NiMH battery.
- The implant system of Claim 8 wherein the coupling means comprises an inductive coupling scheme comprising a first coil associated with the first case and a second coil associated with the second case, the first and second coils being aligned with each other to allow ac signals to be coupled therebetween.
  - 12. The implant system of Claim 11 wherein the first coil resides unside the first case, and the second coil resides outside of the second case, but is electrically connected to circulity within the second case.
- 20 13. The implant system of Claim 11 wherein the second coil is embedded within a material (174) that holds the coil against an outer surface of the first case.
  - 14. The implant system of Claim 11 wherein the second coil is looped around the first case.
- The implant system of Claim 10 wherein the first coil resides inside the first case, and
  the second coil resides Inside the second case, and the first and second cases each comprise relatively flat
  cases that are stacked fourther.
  - 16. The implant system of Claim 8 wherein the coupling means comprises a detachable cable that electrically connects circuitry within the first case with electrical circuitry within the second case.

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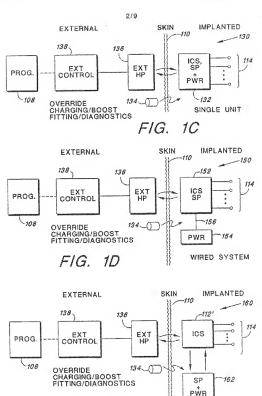
- 25 -

- 17. The implant system of Claim 16 wherein the cable is transformer coupled at each end to the circuitry within the respective first and second cases.
- 18. The implant system of Claim 16 wherein the cable is capacitively-coupled at each end to the circuitry within the respective first and second cases.
- 5 19. The implant system of Chaim 18 wherein the capacitively-compled cable includes at least three conductors, and wherein 3-phase switching circuitry is used within the respective first and second cases to transfer power from the power source in the second case to the circuits within the first case.



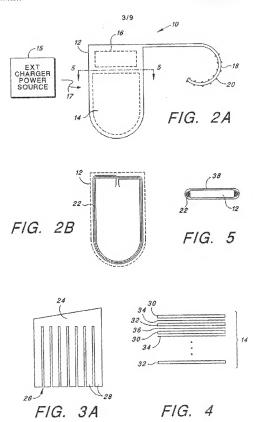
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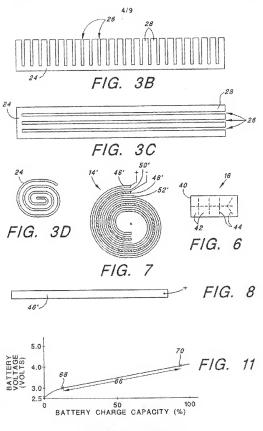
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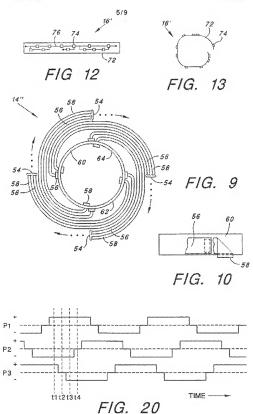
PROXIMITY SYSTEM

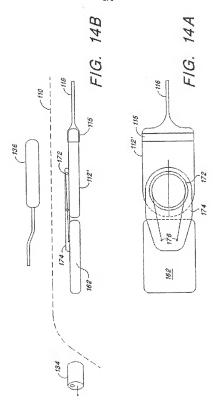
FIG. 1E



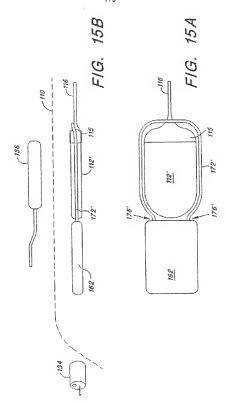


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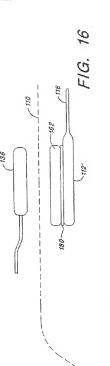


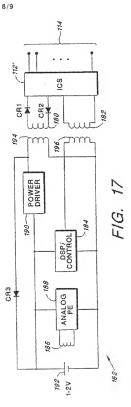


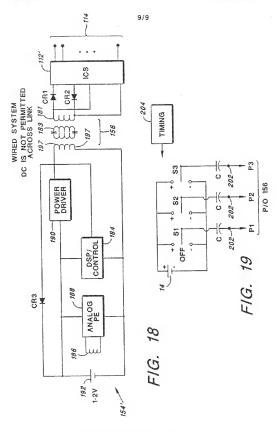
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Further documents are lated in the continuation of box C	Patent family members are listed in arms.		
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# FURTHER INFORMATION CONTINUED FROM PCT/SA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1, Claims: 1-7

implant system having battery electrode plates configured to reduce eddy currents.

2. Claims: 1, 8-19

Implant system having a seperate case for the power source.

information on pasent tamily members

Inservat. Application No PCT/US 98/15995

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